



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Luzinov, et al. ) Group Art Unit: 1773  
Serial No: 10/773,120 ) Examiner: Unknown  
Filed: February 5, 2004 ) Our Account No: 04-1403  
Confirmation No: 3254 ) Customer No: 22827  
Title: Surface Modification Of Substrates )

Commissioner for Patents  
U.S. Patent and Trademark Office  
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Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 2 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
13 item(s)
- c.[ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_
- [ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
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- ii[ ] Filing Fee per Rule 17(p) .....\$180.00
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- b.[ ] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.



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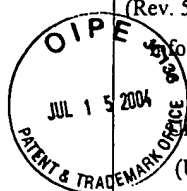
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By: Christina L. Mangelsen, Patent Agent

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Date: July 13, 2004



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number:	Serial Number:
	CXU-383	10/773,120
	Applicant: Luzinov, et al.	
	Filing Date: February 5, 2004 Confirmation No: 3254	Group Art Unit: 1773

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
 USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
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 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
	Graiver, et al.	5	5	2	7	6	1	8		06/18/1996	5
	Lai, et al.	5	7	2	6	7	3	3		03/10/1998	5
	Bergbreiter, et al.	5	7	2	8	4	3	1		03/17/1998	5
	Zhong	5	8	6	9	1	2	7		02/09/1999	5
	Gutowski, et al.	5	8	7	9	7	5	7		03/09/1999	5
	Wu, et al.	5	9	2	2	1	6	1		07/13/1999	5
	Sluka, et al.	5	9	3	2	2	9	6		08/03/1999	5
	Ottersbach, et al.	6	0	0	1	8	9	4		12/14/1999	5
	Anders, et al.	6	0	9	6	3	6	9		08/01/2000	5
	Glejbøl, et al.	6	1	2	6	7	7	6		10/03/2000	5
	Timmons, et al.	6	3	0	6	5	0	6		10/23/2001	5
	Wang, et al.	6	3	5	8	5	5	7		03/19/2002	5
	Soane, et al.	6	3	7	9	7	5	3		04/30/2002	5

## U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER								PUBLICATION DATE	COPY NOTE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER								PUBLICATION DATE	TRANSLATION			COPY NOTE
											YES	NO	N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: CXU-383	Serial Number: 10/773,120
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EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
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